

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification  
of this Application:  
369 Subclass 112

Prior Application:  
Examiner: L. Ben  
Group Art Unit 2516

Attorney's  
Docket  
No. 2271/46440-A

71164 U.S. PTO  
08/895511  
07/16/97

COMMISSIONER OF PATENTS AND TRADEMARKS  
Patent Application  
Washington, D. C. 20231

S. I. R.

This is a request for filing a

X CONTINUATION        DIVISIONAL application under:

X 37 C.F.R. § 1.60        37 C.F.R. § 1.62<sup>1</sup>, of pending prior application

Serial No. 08/311,050, filed on September 23, 1994 of

Yoshitaka TAKAHASHI, Hiroshi AKIYAMA, Masami EMOTO for  
Inventor(s)

## OPTICAL PICKUP APPARATUS

(Title of Invention)

1. X Enclosed is a copy of the prior application as originally filed and an affidavit or declaration verifying it as a true copy (see Item 17, below).
2.        A verified statement to establish small entity status under 37 C.F.R. § 1.9 AND 1.27  
       is enclosed.  
       was filed in the prior application and such status is still proper and desired (37 C.F.R. 1.28(a)).
3. X The filing fee is calculated as follows:

## CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		RATE			FEE	
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	13-20	=	0	x	\$ 11	\$ 22	=	\$	\$ ---
Indep. Claims	2- 3	=	0	x	\$ 40	\$ 80	=	\$	\$ ---
Multiple Dependent Claims Presented: Yes <u>      </u> No <u>X</u>					\$130	\$260	=	\$	\$ ---
*If the difference in col. 1 is less than zero, enter "0" in Col. 2.					BASIC FEE			\$ 385	\$ 770
					TOTAL FEE			\$	\$ 770

1. Filing an application pursuant to this section expressly abandons the parent application.

A

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- (a)   X   The power appears in the original papers in the prior application.
- (b)        Since the Power does not appear in the original papers, a copy of the Power in the prior application is enclosed.
- (c)   X   Address all future communications to:  
(May only be completed by applicant, or attorney or agent of record.)

Ivan S. Kavrukov

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, N.Y. 10036

16.   X   Also enclosed Express Mail Certificate

Information Disclosure Statement

17.   X   I hereby verify that the attached papers are a true copy of prior application Serial No. 08/311,050, as originally filed on 09/23/94.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

July 16, 1997

(Date)



(Signature)

Richard F. Jaworski, Reg. No. 33,515

       INVENTOR(S)

       ASSIGNEE OF COMPLETE INTEREST

       ATTORNEY OR AGENT OF RECORD

  X   FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Yoshitaka TAKAHASHI, et al.  
Serial No. : [CON of 08/311,050] Group Art Unit:  
Date Filed : Concurrently Herewith Examiner:  
For : OPTICAL PICKUP APPARATUS

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New York, N.Y. 10036

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Patent Application

EXPRESS MAIL  
LETTER OF TRANSMITTAL

Express Mail mailing label number: EM030216840US

Date of Deposit: July 16, 1997

I hereby certify that the above-identified application (which is a Rule 1.60 continuation of S.N. 08/311,050 filed 09-23-94), consisting of a 3-page continuation application (in triplicate), copy of parent application S.N. 08/311,050 filed 09-23-94, copy of the Declaration and Power of Attorney in the parent application, Preliminary Amendment, 35 sheets of formal drawings (and photocopy thereof), Information Disclosure Statement and Form PTO - 1449 and check for \$770 filing fee), is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Denley Glasgow  
Mail Room Employee  
Depositing Express Mail Material

Attorney for Applicant:

Richard F. Jaworski, Reg. No. 33,515  
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AP94-141 (Ricoh F. Number)

To whom it may concern:

## "OPTICAL PICKUP APPARATUS"

of which the following is a full, clear and exact description.